

On the classification of Kantor triple systems¹

Antonio Ricciardo
University of Bologna

Non-associative algebras in Cádiz
23rd February 2018

¹Based on joint work with *N. Cantarini* and *A. Santi*.
<https://arxiv.org/abs/1710.05375>

Kantor triple systems

Definition (Jordan triple system)

A Jordan triple system (JTS) is a vector space with a ternary product commutative in the 1st and 3rd variables, i.e. $(xyz) = (zyx)$, and satisfying

$$(uv(xyz)) = ((uvx)yz) - (x(vuy)z) + (xy(uvz)) \quad (*)$$

The Kantor tensor is $K_{xz}(y) = (xyz) - (zyx)$. Commutativity in the 1st and 3rd variables is then equivalent to K being identically 0.

Definition

A **Kantor triple system** (KTS) is a vector space with ternary product satisfying condition $(*)$ and such that

$$K_{K_{uv}(x)y} = K_{(yxu)v} - K_{(yxv)u} \quad (1)$$

Example of KTS

Example (Special linear KTS)

The matrix space $M = M_{m,n}(\mathbb{C}) \oplus M_{r,m}(\mathbb{C})$ with product

$$\left(\begin{pmatrix} x_1 \\ x_2 \end{pmatrix} \begin{pmatrix} y_1 \\ y_2 \end{pmatrix} \begin{pmatrix} z_1 \\ z_2 \end{pmatrix} \right) = \begin{pmatrix} x_1 y_1^t z_1 + z_1 y_1^t x_1 - y_2^t x_2 z_1 \\ x_2 y_2^t z_2 + z_2 y_2^t x_2 - z_2 x_1 y_1^t \end{pmatrix} \quad (2)$$

is a KTS. Furthermore, it is

- **simple**, i.e. it has no non-trivial ideals
(subsystems I for which $(IMM) + (MIM) + (MMI) \subset I$),
- **centerless**, i.e. $C = \{c \in M \mid (xcy) = 0, \forall x, y \in M\} = 0$.

Construction of KTS

Definition (Graded Lie algebras)

A Lie algebra \mathfrak{g} is \mathbb{Z} -graded if $\mathfrak{g} = \bigoplus_{i \in \mathbb{Z}} \mathfrak{g}_i$ and $[\mathfrak{g}_i, \mathfrak{g}_j] \subset \mathfrak{g}_{i+j}$.

We say that \mathfrak{g} is **5-graded** (resp. 3-graded) if $\mathfrak{g}_i = 0$ for $|i| > 2$ (resp. $|i| > 1$).

If σ is an order 2 automorphism of \mathfrak{g} we say that σ is a **grade-reversing involution** if $\sigma(\mathfrak{g}_i) = \mathfrak{g}_{-i}$.

Proposition

Let (\mathfrak{g}, σ) be a pair consisting of a 5-graded Lie algebra \mathfrak{g} and a grade-reversing involution σ . We define a KTS structure over \mathfrak{g}_{-1} by

$$(xyz) = [[x, \sigma(y)], z] \quad (3)$$

Problem: Is it possible to reverse this construction?

Lie algebras and KTS

$$\left\{ \begin{array}{c} \text{simple} \\ \text{5-graded Lie alg.} \\ \text{with involution} \end{array} \right\} \begin{array}{c} \longrightarrow \\ \longleftarrow \\ TKK \end{array} \left\{ \begin{array}{c} \text{K-simple} \\ \text{KTS} \end{array} \right\}$$

TKK construction

Let V be a centerless KTS and define the operators, for $x, y, z \in V$:

$$L_{x,y}(z) := (xyz), \quad \varphi_x(y) := L_{y,x}, \quad D_{x,y}(z) := -\varphi_{K_{x,y}}(z).$$

$$\mathfrak{g} = \mathfrak{g}(V) = \underbrace{\langle K_{x,y} \rangle}_{-2} \oplus \underbrace{V}_{-1} \oplus \underbrace{\langle L_{x,y} \rangle}_0 \oplus \underbrace{\langle \varphi_x \rangle}_1 \oplus \underbrace{\langle D_{x,y} \rangle}_2$$

The Lie bracket is defined by

$$[x, y] := K_{x,y}, \quad [A, x] := A(x)$$

$$[x, y] := K_{x,y}, \quad [A, x] := A(x)$$

for A either $L_{x,y}$, ϕ_x or $D_{x,y}$ and extended using

- **transitivity** (if $A \in \mathfrak{g}_i$, $i \geq 0$ and $[A, x] = 0 \forall x \in \mathfrak{g}_{-1}$ then $A = 0$)
- Jacobi identity, since \mathfrak{g} is **fundamental** (\mathfrak{g}_{-1} generates \mathfrak{g}_{-2}).

TKK construction

Let V be a centerless KTS and define the operators, for $x, y, z \in V$:

$$L_{x,y}(z) := (xyz), \quad \varphi_x(y) := L_{y,x}, \quad D_{x,y}(z) := -\varphi_{K_{x,y}}(z).$$

$$\mathfrak{g} = \mathfrak{g}(V) = \begin{array}{cccccc} \langle K_{x,y} \rangle & \oplus & V & \oplus & \langle L_{x,y} \rangle & \oplus & \langle \varphi_x \rangle & \oplus & \langle D_{x,y} \rangle \\ -2 & & -1 & & 0 & & 1 & & 2 \end{array}$$

Remark: $\mathfrak{g}(V)$ is a subalgebra of the **Tanaka prolongation** \mathfrak{g}^∞ .

Remark: The following map is a grade-reversing involution of \mathfrak{g} ,

$$\sigma : \quad K_{x,y} \leftrightarrow D_{x,y}, \quad x \leftrightarrow -\varphi_x, \quad L_{x,y} \leftrightarrow -L_{y,x}$$

Theorem (C., −, S.)

There is a one-to-one correspondence between K -simple Kantor triple systems V and pairs (\mathfrak{g}, σ) , where \mathfrak{g} is a simple 5-graded Lie algebra and σ a grade-reversing involution. Moreover, V is finite dimensional (resp. linearly-compact) if and only if \mathfrak{g} is finite dimensional (resp. linearly-compact).

Infinite-dimensional KTS

Theorem (C., −, S.)

There are *no infinite-dimensional simple linearly-compact KTS*.

Example ($W(n)$)

The infinite-dimensional linearly-compact simple Lie algebra of formal vector fields in n indeterminates:

$$W(n) = \left\{ \sum_{i=1}^n P_i \frac{\partial}{\partial x_i} \mid P_i \in \mathbb{C}[[x_1, \dots, x_n]] \right\}$$

Any \mathbb{Z} -grading of $W(n)$ is determined by assigning a degree

$$\deg(x_i) = k_i, \quad \deg\left(\frac{\partial}{\partial x_i}\right) = -k_i. \quad (4)$$

The grading obtained cannot be a 5-grading ($\mathfrak{g}_i \neq 0$ for infinitely-many i).

Finite-dimensional KTS

Problem: How to classify grade-reversing involutions of finite-dimensional simple 5-graded Lie algebras?

Theorem (C., −, S.)

There is a bijection between isomorphic (\mathfrak{g}, σ) and isomorphic $(\mathfrak{g}^\circ, \theta)$, where \mathfrak{g} is a simple complex \mathbb{Z} -graded Lie algebra, σ a grade-reversing involution of \mathfrak{g} , \mathfrak{g}° is a real absolutely simple \mathbb{Z} -graded Lie algebra and θ a grade-reversing Cartan involution of \mathfrak{g}° .

Solution: Given a 5-graded simple Lie algebra \mathfrak{g} , each non-isomorphic KTS structure of \mathfrak{g} corresponds exactly to one of its real forms \mathfrak{g}° .

Derivations of KTS

Theorem (C., −, S.)

Let V be a K -simple KTS with associated real form (\mathfrak{g}^o, θ) .

Let $(\mathfrak{g}_0^o)^{ss}$ be the semisimple part of \mathfrak{g}_0^o and $(\mathfrak{g}_0^o)^{ss} = \mathfrak{l} \oplus \mathfrak{p}$ its Cartan decomposition with respect to θ .

The Lie algebra of derivations of V , denoted $\mathfrak{der}(V)$, is the complexification of the maximal compact subalgebra \mathfrak{l}

$$\mathfrak{der}(V) = \mathfrak{l} \otimes \mathbb{C}$$

Finite-dimensional KTS

Theorem (C., −, S.)

Up to isomorphisms there are 8 infinite series of *classical* K -simple KTS and 23 *exceptional* cases.

The exceptional KTS can be divided into three classes, depending on the grading of the associated Lie algebra:

- (i) of *contact* type, if $\dim(\mathfrak{g}_{-2}) = 1$;
- (ii) of *extended Poincaré* type, if $\mathfrak{g}_{-2} = U$ and $\mathfrak{g}_0 \supset \mathfrak{so}(U)$;
- (iii) of *special* type otherwise.

Exceptional KTS associated to E_7

Contact type Poincaré type Special type

\mathfrak{g}°	Satake Diagram	KTS
$E\ V$		$V = \mathbb{S}_6^+$ $\partial\text{er}(V) = \mathfrak{so}(6, \mathbb{C}) \oplus \mathfrak{so}(6, \mathbb{C})$
$E\ VI$		$V = \mathbb{S}_6^+$ $\partial\text{er}(V) = \mathfrak{gl}(6, \mathbb{C})$
$E\ VII$		$V = \mathbb{S}_6^+$ $\partial\text{er}(V) = \mathfrak{so}(2, \mathbb{C}) \oplus \mathfrak{so}(10, \mathbb{C})$

Exceptional KTS associated to E_7

Poincaré type Special type

\mathfrak{g}°	Satake Diagram	KTS
$E V$		$V = \mathbb{S}_5^+ \otimes \mathbb{C}^2$ $\partial \text{er}(V) = \mathfrak{so}(5, \mathbb{C}) \oplus \mathfrak{so}(5, \mathbb{C}) \oplus \mathfrak{so}(2, \mathbb{C})$
$E VI$		$V = \mathbb{S}_5^+ \otimes \mathbb{C}^2$ $\partial \text{er}(V) = \mathfrak{so}(3, \mathbb{C}) \oplus \mathfrak{so}(7, \mathbb{C}) \oplus \mathfrak{sl}(2, \mathbb{C})$
$E VII$		$V = \mathbb{S}_5^+ \otimes \mathbb{C}^2$ $\partial \text{er}(V) = \mathfrak{so}(9, \mathbb{C}) \oplus \mathfrak{so}(2, \mathbb{C})$

Exceptional KTS associated to E_7

Poincaré type Special type

\mathfrak{g}°	Satake Diagram	KTS
$E V$		$V = \Lambda^3(\mathbb{C}^7)^*$ $\partial \text{er}(V) = \mathfrak{so}(7, \mathbb{C})$
$E VI$		No KTS
$E VII$		No KTS

Exceptional KTS of special type E_7

$$\mathfrak{g} = \Lambda^6(\mathbb{C}^7)^* \oplus \Lambda^3(\mathbb{C}^7)^* \oplus \mathfrak{sl}(7, \mathbb{C}) \oplus \mathbb{C}E \oplus \Lambda^3\mathbb{C}^7 \oplus \Lambda^6\mathbb{C}^7$$
$$\begin{array}{cccccc} -2 & & -1 & & 0 & & 1 & & 2 \end{array}$$

Let η be a scalar product on \mathbb{C}^7 and \sharp the associated musical morphism sending $\Lambda^k(\mathbb{C}^7)^* \rightarrow \Lambda^k\mathbb{C}^7$.

Let \bullet be the natural projection $\Lambda^3(\mathbb{C}^7)^* \otimes \Lambda^3\mathbb{C}^7 \rightarrow \mathfrak{sl}(7, \mathbb{C})$.

Theorem

The vector space $\Lambda^3(\mathbb{C}^7)^*$ with triple product

$$(\alpha\beta\gamma) = \frac{2}{7}\eta(\alpha, \beta)\gamma - (\beta^\sharp \bullet \alpha) \cdot \gamma \quad (5)$$

is a K -simple KTS with associated Lie algebra E_7 and derivation algebra $\mathfrak{so}(7, \mathbb{C})$.

Thanks!